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PTO/SB/21 (08-03) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE nder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/976,259 Filing Date **TRANSMITTAL** October 12, 2001 First Named Inventor **FORM** Tsutomu Kurokawa Art Unit 3629 (to be used for all correspondence after initial filing) **Examiner Name** Igor N. Borrisov Attorney Docket Number 09614/000L098-US0 Total Number of Pages in This Submission 17 ENCLOSURES (Check all that apply) After Allowance Communication x | Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Appeal Communication to Group x | Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please x | Extension of Time Request Terminal Disclaimer identify below): Certificate of Express Mailing; **Express Abandonment Request** Request for Refund in the amount of Check No. \$110.00; and Return Postcard Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ RECEIVED Incomplete Application Response to Missing Parts DEC 0 2 2003 under 37 CFR 1.52 or 1.53 GROUP 3000 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm DARBY & DARBY P.C. Richard J. Katz - 47,698 Individual name Signature Date November 14, 2003

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PTO/SB/17 (10-03) Approved for use through 7/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known FEE TRANSMITTAL 09/976,259 Application Number October 12, 2001 for FY 2004 Filing Date First Named Inventor Tsutomu Kurokawa Effective 10/01/2003, Patent fees are subject to annual revision. Igor N. Borissov Examiner Name Applicant claims small entity status. See 37 CFR 1.27 3629 Art Unit 09614/000L098-US0 TOTAL AMOUNT OF PAYMENT (\$) 110.00 Attorney Docket No. FEE CALCULATION (continued) METHOD OF PAYMENT (check all that apply) Check Other 3. ADDITIONAL FEES х None Order х Deposit Account: **Large Entity** Small Entity Deposit 04-0100 Fee Fee **Fee Description** Code (\$) Code (\$) Fee Paid Number Deposit 65 1051 130 2051 Surcharge - late filing fee or oath Darby & Darby P.C. Account Name Surcharge – late provisional filing fee or cover 1052 50 2052 25 The Director is authorized to: (check all that apply) sheet. X Credit any overpayments Charge fee(s) indicated below 1053 1053 130 130 Non-English specification Charge any additional fee(s) or any underpayment of fee(s) 1812 2.520 1812 2,520 For filing a request for ex parte reexamination Requesting publication of SIR prior to 1804 920* 1804 920* Charge fee(s) indicated below, except for the filing fee Examiner action Requesting publication of SIR after to the above-identified deposit account 1805 1.840 1805 1.840 Examiner action **FEE CALCULATION** 1251 110 2251 55 Extension for reply within first month 110.00 1. BASIC FILING FEE 1252 420 2252 210 Extension for reply within second month Large Entity Small Entity 1253 950 2253 475 Extension for reply within third month Fee Fee Paid Fee Fee Fee Fee Description 1254 1.480 2254 740 Extension for reply within fourth month Code (\$) (\$) 1001 2001 385 Utility filing fee 1255 2.010 2255 Extension for reply within fifth month 770 1.005 2002 1002 340 170 Design filing fee 1401 330 2401 165 Notice of Appeal 1003 530 2003 265 Plant filing fee 1402 330 2402 Filing a brief in support of an appeal 165 1004 770 2004 385 Reissue filing fee 1403 290 2403 Request for oral hearing 1005 2005 Provisional filing fee 1451 1451 160 80 1,510 Petition to institute a public use proceeding 1452 110 2452 55 Petition to revive - unavoidable SUBTOTAL (1) 0.00 1453 1,330 2453 665 Petition to revive - unintentional 1501 1,330 2501 Utility issue fee (or reissue) 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Extra Fee from 1502 480 2502 240 Design issue fee Fee Paid Total Claims 19 -20** = 0.00 1503 640 2503 320 Plant issue fee Independent 1460 130 1460 130 Petitions to the Commissioner 3 0.00 Claims 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) Multiple Dependent Submission of Information Disclosure Stmt 1806 180 1806 180 Large Entity Small Entity Recording each patent assignment per Fee Description 8021 40 8021 40 Code (\$) Code (\$) property (times number of properties) Filing a submission after final rejection 1202 18 2202 a Claims in excess of 20 770 2809 385 1809 (37 ČFR 1.129(a)) 1201 2201 Independent claims in excess of 3 86 43 For each additional invention to be 1810 770 2810 385 1203 290 2203 145 Multiple dependent claim, if not paid examined (37CFR 1.129(b)) 1204 86 2204 Reissue independent claims 1801 2801 385 Request for Continued Examination (RCE) over original patent Request for expedited examination 1802 900 1802 900 of a design application 1205 18 2205 Reissue claims in excess of 20 and over original patent Other fee (specify) 110.00 SUBTOTAL (3) (\$) SUBTOTAL (2) (\$) 0.00 *Reduced by Basic Filing Fee Paid **or number previously paid, if greater; For Reissues, see above SUBMITTED BY (Complete (if applicable)) Registration No. 47,698 (212) 527-7700 Name (Print/Type) Richard J. Katz Telephone

Attorney/Agent) Date November 14, 2003 Signature

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Docket No. AMENDMENT TRANSMITTAL LETTER 09614/000L098-US0 Application No. Filing Date Art Unit Examiner 09/976,259 October 12, 2001 Igor N,. Borissov 3629 Applicant(s): Tsutomu Kurokawa, et al. Invention: ELECTRICITY GENERATION EQUIPMENT MANAGEMENT SYSTEM DEC 0 2 2003 TO THE COMMISSIONER FOR PATENTS Transmitted herewith is an amendment in the above-identified application. GROUP 3500 The fee has been calculated and is transmitted as shown below. **CLAIMS AS AMENDED** Claims Highest Remaining Number Number After Previously **Extra Claims** Amendment Paid Present Rate **Total Claims** 20 0.00 19 х Independent 3 3 х 0.00 Claims Multiple Dependent Claims (check if applicable) Other fee (please specify): TOTAL ADDITIONAL FEE FOR THIS AMENDMENT: 0.00 x Large Entity Small Entity x No additional fee is required for this amendment. Please charge Deposit Account No. in the amount of \$ A duplicate copy of this sheet is enclosed. A check in the amount of \$ to cover the filing fee is enclosed. Payment by credit card. Form PTO-2038 is attached. 04-0100 The Director is hereby authorized to charge and credit Deposit Account No. as described below. A duplicate copy of this sheet is enclosed. Credit any overpayment. Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17. Dated: November 14, 2003 Richard J. Katá Attorney Reg. No.: 47,698 DARBY & DARBY P.C. P.O. Box 5257 New York, New York 10150-5257 (212) 527-7700

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plication No. (if known): 09/976,259

Attorney Docket No.: 09614/000L098-US0

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- 3. Petition for One Month Extension of Time (1 page);
- 4. Fee Transmittal FY 2004 (1 page);
- 5.
- Transmittal Form PTO/SB/21 (1 page); Check No 3255 in the amount of \$110.00; and 6.
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